

Sector #3

HDP/SB/21 based on PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☒



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|   |                             |                               |             |
|---|-----------------------------|-------------------------------|-------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 09/851,782                    |             |
|   | <b>Filing Date</b>          | May 9, 2001                   |             |
|   | <b>First Named Inventor</b> | Tsuyoshi Ichibakase et al.    |             |
|   | <b>Group Art Unit</b>       | 2879                          |             |
|   | <b>Examiner Name</b>        |                               |             |
| <b>Total Number of Pages in This Submission</b>                                     | 14                          | <b>Attorney Docket Number</b> | 5077-000037 |

| ENCLOSURES (check all that apply)  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input checked="" type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><p>return postcard;<br/>copy of Notice to File Missing Parts;</p> |
| Remarks  |  | The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                  |                                    |                    |
|--|----------------------------------|------------------------------------|--------------------|
| Firm or Individual name                    | Harness, Dickey & Pierce, P.L.C. | Attorney Name<br>Gregory A. Stobbs | Reg. No.<br>28,764 |
| Signature                                  |                                  |                                    |                    |
| Date                                       | 08/27/2001                       |                                    |                    |

| CERTIFICATE OF MAILING/TRANSMISSION   |                   |      |            |
|---|-------------------|------|------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below. |                   |      |            |
| Typed or printed name   | Gregory A. Stobbs |      |            |
| Signature   |                   | Date | 08/27/2001 |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 170

## Complete if Known

|                      |                             |
|----------------------|-----------------------------|
| Application Number   | 09/851,782                  |
| Filing Date          | May 9, 2001                 |
| First Named Inventor | Tsuyoshi Ichibakase, et al. |
| Examiner Name        |                             |
| Group / Art Unit     | 2879                        |
| Attorney Docket No.  | 5077-000037                 |

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

08-0750

Deposit  
Account  
Name

Harness, Dickey &amp; Pierce, P.L.C.

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 101                  | 710                   | 201                  | 355                   | Utility filing fee     |          |
| 106                  | 320                   | 206                  | 160                   | Design filing fee      |          |
| 107                  | 490                   | 207                  | 245                   | Plant filing fee       |          |
| 108                  | 710                   | 208                  | 355                   | Reissue filing fee     |          |
| 114                  | 150                   | 214                  | 75                    | Provisional filing fee |          |

SUBTOTAL (1)

(\$0)

## 2. EXTRA CLAIM FEES

| Total Claims          | Extra<br>Claims | Fee from<br>below | Fee<br>Paid |
|-----------------------|-----------------|-------------------|-------------|
|                       | 0               |                   | 0           |
| Independent<br>Claims | 0               |                   | 0           |
| Multiple<br>Dependent |                 |                   | 0           |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                     |
| 102                  | 80                    | 202                  | 40                    | Independent claims in excess of 3                          |
| 104                  | 270                   | 204                  | 135                   | Multiple dependent claim, if not paid                      |
| 109                  | 80                    | 209                  | 40                    | ** Reissue independent claims over original patent         |
| 110                  | 18                    | 210                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

| Large<br>Entity<br>Fee<br>Code | Large<br>Entity<br>Fee<br>(\$) | Small<br>Entity<br>Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description  | Fee<br>Paid |
|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--|-------------|
| 105                            | 130                            | 205                            | 65                             | Surcharge - late filing fee or oath  | 130         |
| 127                            | 50                             | 227                            | 25                             | Surcharge - late provisional filing fee or cover sheet.                    |             |
| 139                            | 130                            | 139                            | 130                            | Non-English specification  |             |
| 147                            | 2,520                          | 147                            | 2,520                          | For filing a request for reexamination                                     |             |
| 112                            | 920*                           | 112                            | 920*                           | Requesting publication of SIR prior to Examiner action                     |             |
| 113                            | 1,840*                         | 113                            | 1,840*                         | Requesting publication of SIR after Examiner action                        |             |
| 115                            | 110                            | 215                            | 55                             | Extension for reply within first month                                     |             |
| 116                            | 390                            | 216                            | 195                            | Extension for reply within second month                                    |             |
| 117                            | 890                            | 217                            | 445                            | Extension for reply within third month                                     |             |
| 118                            | 1,390                          | 218                            | 695                            | Extension for reply within fourth month                                    |             |
| 128                            | 1,890                          | 228                            | 945                            | Extension for reply within fifth month                                     |             |
| 119                            | 310                            | 219                            | 155                            | Notice of Appeal   |             |
| 120                            | 310                            | 220                            | 155                            | Filing a brief in support of an appeal                                     |             |
| 121                            | 270                            | 221                            | 135                            | Request for oral hearing   |             |
| 138                            | 1,510                          | 138                            | 1,510                          | Petition to institute a public use proceeding                              |             |
| 140                            | 110                            | 240                            | 55                             | Petition to revive - unavoidable   |             |
| 141                            | 1,240                          | 241                            | 620                            | Petition to revive - unintentional   |             |
| 142                            | 1,240                          | 242                            | 620                            | Utility issue fee (or reissue)   |             |
| 143                            | 440                            | 243                            | 220                            | Design issue fee   |             |
| 144                            | 600                            | 244                            | 300                            | Plant issue fee  |             |
| 122                            | 130                            | 122                            | 130                            | Petitions to the Commissioner  |             |
| 123                            | 130                            | 123                            | 130                            | Petitions related to provisional applications                              |             |
| 126                            | 180                            | 126                            | 180                            | Submission of Information Disclosure Stmt                                  |             |
| 581                            | 40                             | 581                            | 40                             | Recording each patent assignment per property (times number of properties) | 40          |
| 146                            | 710                            | 246                            | 355                            | Filing a submission after final rejection (37 CFR § 1.129(a))              |             |
| 149                            | 710                            | 249                            | 355                            | For each additional invention to be examined (37 CFR § 1.129(b))           |             |
| 179                            | 710                            | 279                            | 355                            | Request for Continued Examination (RCE)                                    |             |
| 169                            | 900                            | 169                            | 900                            | Request for expedited examination of a design application                  |             |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$170)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)

Gregory A. Stobbs

Registration No. Attorney/Agent)

28,764

Telephone

(248) 641-1600

Signature

Gregory Stobbs

Date

08/27/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/851,782         | 05/09/2001          | Tuyoshi Ichibakase    | 5077-000037            |

CONFIRMATION NO. 3290

27572  
HARNESS, DICKEY & PIERCE, PLC  
P.O. BOX 828  
BLOOMFIELD HILLS, MI 48303

## FORMALITIES LETTER



\*OC000000006272565\*

Date Mailed: 07/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

09/09/2001 KZEUDIE 00000082 09851782

09/09/2001 130.00 CP

*A copy of this notice **MUST** be returned with the reply.*Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE